

3-26-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P11284

(maximum 12 characters)

No. of Pages: 4, including Fee TransmittalFirst Named Inventor Garry C. BinderTitle: AN APPARATUS AND METHOD FOR ASYMMETRIC ELECTRONIC COMMERCE TRANSACTIONSExpress Mail Label No. EL 67274 8117 USJC974 U.S. PTO  
09/015497

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. X **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.        **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. X **Specification (Total Pages 25, including cover page )**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. X **Drawings(s) (35 USC 113) (Total Sheets 14 sheets of 15 figures )**
5. X **Oath or Declaration (Total Pages 5 )**
  - a.        Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.        **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c. X Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8.        **Nucleotide and/or Amino Acid Sequence Submission**  
(if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on:
    - i.        CD-ROM or CD-R (2 copies); or
    - ii.        paper
  - c.        Statement verifying identity of above copies

JC974 U.S. PTO  
09/015497J1025 U.S. PTO  
03/22/01

03/22/01

# ACCOMPANYING APPLICATION PARTS

9. ☐ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☒ Other: Two checks in the amounts of \$710.00 and \$80.00

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
**Of Prior Application No.:** ☐ **Examiner** ☐ **Group Art Unit** ☐  
 (which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. ☐  
 which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. ☐ (List entire chain of priority)

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority.  
**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that ☐ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. ☐ Frame No. ☐ (or a copy of which is attached).

## **19. Correspondence Address**

☐ Customer Number or Bar Code Label ☐  
 or (Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

**NAME** James H. Salter  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

**ADDRESS** 12400 Wilshire Boulevard  
Seventh Floor

**CITY** Los Angeles **STATE** California **ZIP CODE** 90025-1026

**Country** U.S.A. **TELEPHONE** (408) 720-8300 **FAX** (408) 720-8383

**Name (PRINT/TYPE):** James H. Salter **Registration No.:** 35,668

**Signature:**  **Date:** 8/22/01

**FEE TRANSMITTAL FOR FY 2000**

**TOTAL AMOUNT OF PAYMENT (\$)** 790.00

**Complete if Known:**

Application No. Unassigned  
Filing Date March  
First Named Inventor Garry C. Binder  
Group Art Unit Unassigned  
Examiner Name Unassigned  
Attorney Docket No. 42390P11284

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number \_\_\_\_\_  
Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, charge any deficiencies, and credit any over payments to Deposit Account Number 02-2666

- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. ☒ Payment Enclosed  
☒ Check  
☐ Money Order  
☐ Other

**FEE CALCULATION (fees effective 11/29/00)**

**1. FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description                    | Fee Paid         |
|--------------|----------|--------------|----------|------------------------------------|------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                    |                  |
| 101          | 710      | 201          | 355      | Utility application filing fee     | <u>710.00</u>    |
| 106          | 320      | 206          | 160      | Design application filing fee      | _____            |
| 107          | 490      | 207          | 245      | Plant filing fee                   | _____            |
| 108          | 710      | 208          | 355      | Reissue filing fee                 | _____            |
| 114          | 150      | 214          | 75       | Provisional application filing fee | _____            |
| SUBTOTAL (1) |          |              |          |                                    | \$ <u>710.00</u> |

**2. CLAIMS**

|                           |           |        | Extra    |   | Fee from below |   | Fee Paid  |
|---------------------------|-----------|--------|----------|---|----------------|---|-----------|
| Total Claims              | <u>20</u> | - 20 = | <u>0</u> | X | <u>0</u>       | = | <u>0</u>  |
| Independent Claims        | <u>4</u>  | - 3 =  | <u>1</u> | X | <u>80</u>      | = | <u>80</u> |
| Multiple Dependent Claims |           |        | <u>0</u> | X | <u>0</u>       | = | <u>0</u>  |

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid        |
|--------------|----------|--------------|----------|---|-----------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |                 |
| 103          | 18       | 203          | 9        | Claims in excess of twenty                              | _____           |
| 102          | 80       | 202          | 40       | Independent claims in excess of 3                       | <u>80.00</u>    |
| 104          | 270      | 204          | 135      | Multiple dependent claim                                | _____           |
| 109          | 80       | 209          | 40       | Reissue independent claims over original patent         | _____           |
| 110          | 18       | 210          | 9        | Reissue claims in excess of 20 and over original patent | _____           |
| SUBTOTAL (2) |          |              |          |   | \$ <u>80.00</u> |

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| <u>Large Entity</u> |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>   | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u>     | <u>Fee (\$)</u> | <u>Fee Code</u>     | <u>Fee (\$)</u> |  |                 |
| 105                 | 130             | 205                 | 65              | Surcharge - late filing fee or oath  |                 |
| 127                 | 50              | 227                 | 25              | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 139                 | 130             | 139                 | 130             | Non-English specification  |                 |
| 147                 | 2,520           | 147                 | 2,520           | For filing a request for reexamination                                     |                 |
| 112                 | 920*            | 112                 | 920*            | Requesting publication of SIR prior to Examiner action                     |                 |
| 113                 | 1,840*          | 113                 | 1,840*          | Requesting publication of SIR after Examiner action                        |                 |
| 115                 | 110             | 215                 | 55              | Extension for response within first month                                  |                 |
| 116                 | 390             | 216                 | 195             | Extension for response within second month                                 |                 |
| 117                 | 890             | 217                 | 445             | Extension for response within third month                                  |                 |
| 118                 | 1,390           | 218                 | 695             | Extension for response within fourth month                                 |                 |
| 128                 | 1,890           | 228                 | 945             | Extension for response within fifth month                                  |                 |
| 119                 | 310             | 219                 | 155             | Notice of Appeal   |                 |
| 120                 | 310             | 220                 | 155             | Filing a brief in support of an appeal                                     |                 |
| 121                 | 270             | 221                 | 135             | Request for oral hearing   |                 |
| 138                 | 1,510           | 138                 | 1,510           | Petition to institute a public use proceeding                              |                 |
| 140                 | 110             | 240                 | 55              | Petition to revive unavoidably abandoned application                       |                 |
| 141                 | 1,240           | 241                 | 620             | Petition to revive unintentionally abandoned application                   |                 |
| 142                 | 1,240           | 242                 | 620             | Utility issue fee (or reissue)   |                 |
| 143                 | 440             | 243                 | 220             | Design issue fee   |                 |
| 144                 | 600             | 244                 | 300             | Plant issue fee  |                 |
| 122                 | 130             | 122                 | 130             | Petitions to the Commissioner  |                 |
| 123                 | 50              | 123                 | 50              | Petitions related to provisional applications                              |                 |
| 126                 | 180             | 126                 | 180             | Submission of Information Disclosure Stmt                                  |                 |
| 581                 | 40              | 581                 | 40              | Recording each patent assignment per property (times number of properties) |                 |
| 146                 | 710             | 246                 | 355             | For filing a submission after final rejection                              |                 |
| 149                 | 710             | 249                 | 355             | For each additional invention to be examined                               |                 |
| 179                 | 710             | 279                 | 355             | Request for Continued Examination (RCE)                                    |                 |
| 169                 | 900             | 169                 | 900             | Request for expedited examination of a design application                  |                 |
| 195                 | 300             | 195                 | 300             | Publication fee for early, voluntary, or normal publication                |                 |
| 196                 | 300             | 196                 | 300             | Publication fee for republication  |                 |
| 194                 | 130             | 194                 | 130             | Request for voluntary publication or republication                         |                 |
| 098                 | 130             | 098                 | 130             | Processing fee under 37 CFR 1.17(i)  |                 |
| 091                 | 1,240           | 091                 | 1,240           | Acceptance of unintentionally delayed claim for priority                   |                 |

Other: \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 0

## SUBMITTED BY:

Typed or Printed Name: James H. Salter

Signature: \_\_\_\_\_

Date: 3/22/01Reg. Number: 35,668Customer Number: \_\_\_\_\_  
(complete if applicable)

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 67274 8117 USDate of Deposit: March 22, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Skliba

Name of Person Mailing Paper or Fee

Barbara Skliba3/22/01

Signature of Person Mailing Paper or Fee

Date Signed